# SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Rhodes Jason P</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol Generation Bio Co. [ GBIO ]		ationship of Reporting Per < all applicable) Director	rson(s) to Issuer 10% Owner		
C/O GENERATION BIO CO.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/12/2021		Officer (give title below)	Other (specify below)			
301 BINNEY STREET			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) CAMBRIDGE	MA	02142		X	Form filed by One Rep Form filed by More tha Person	0		
(City)	(State)	(Zip)						

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1- Non-Derivative Securities Acquired, Disposed of, or Denencially Owned											
1. Title of Security (Instr. 3)	Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction if any (Month/Day/Year) 2. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquired Disposed Of (D) (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)	
Common Stock	04/12/2021		S <sup>(1)</sup>		63,353	D	\$26.07 <sup>(2)</sup>	77,329	I	See footnote <sup>(3)</sup>	
Common Stock	04/12/2021		S <sup>(1)</sup>		10,771	D	<b>\$</b> 26.61 <sup>(4)</sup>	66,558	I	See footnote <sup>(3)</sup>	
Common Stock	04/13/2021		S <sup>(1)</sup>		35,791	D	\$25.09 <sup>(5)</sup>	30,767	I	See footnote <sup>(3)</sup>	
Common Stock	04/13/2021		S <sup>(1)</sup>		7,354	D	\$25.53 <sup>(6)</sup>	23,413	I	See footnote <sup>(3)</sup>	
Common Stock	04/14/2021		S <sup>(1)</sup>		10,539	D	\$26.4 <sup>(7)</sup>	12,874	I	See footnote <sup>(3)</sup>	
Common Stock	04/14/2021		S <sup>(1)</sup>		12,874	D	\$26.78 <sup>(8)</sup>	0	I	See footnote <sup>(3)</sup>	
Common Stock								9,111,939	I	See footnote <sup>(9)</sup>	
Common Stock								1,366,937	I	See footnote <sup>(10)</sup>	
Common Stock								608	I	See footnote <sup>(11)</sup>	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number 6. Date Exercisable and tion of Expiration Date		ate	Amo Secu Unde Deriv	rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Owners Form: Direct ( or Indir (I) (Inst	ship of Indir Benefic D) Owners ect (Instr. 4	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

1. Shares were sold pursuant to a Rule 10b5-1 trading plan dated January 14, 2021.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$25.405 to \$26.40 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (4), (5), (6), (7) and (8).

3. These shares are held directly by Atlas Venture Associates X, L.P. ("AVA X LP"). Atlas Venture Associates X, LLC ("AVA X LLC") is the general partner of AVA X LP. The Reporting Person is a member of AVA X LLC and disclaims Section 16 beneficial ownership of such securities held by AVA X LP, except to the extent of his pecuniary interest therein, if any.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$26.41 to \$27.20 inclusive.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$24.37 to \$25.36 inclusive.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$25.37 to \$26.04 inclusive.

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$25.58 to \$26.57 inclusive.

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$26.58 to \$27.14 inclusive.

9. These shares are held directly by Atlas Venture Fund X, L.P. ("Atlas Venture Fund X"). The general partner of Atlas Venture Fund X is AVA X LP. AVA X LLC is the general partner of AVA X LP. The Reporting Person is a member of AVA X LLC and disclaims Section 16 beneficial ownership of the securities held by Atlas Venture Fund X, except to the extend if its pecuniary interest therein, if any.

10. The shares are owned directly by Atlas Venture Opportunity Fund I, L.P. ("AVOF I"). Atlas Venture Associates Opportunity I, LP ("AVAO LP") is the general partner of AVOF I. Atlas Venture Associates Opportunity I, LLC ("AVAO LLC") is the general partner of AVAO LP. The Reporting Person is a member of AVAO LLC and disclaims Section 16 beneficial ownership of such securities held by AVOF I, except to the extent of his pecuniary interest therein, if any.

11. These shares are held directly by AVAO LP. AVAO LLC is the general partner of AVAO LP. The Reporting Person is a member of AVAO LLC and disclaims Section 16 beneficial ownership of such securities held by AVAO LP, except to the extent of his pecuniary interest therein, if any.

Remarks:

# <u>/s/ Ommer Chohan, Attorney-</u> 04/14/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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